

ANGUILLA'S FINANCIAL SERVICES INDUSTRY



FOUNDATION EVENT SERIES ONE

COMPANY MANAGERS AND TRUST COMPANIES

Wednesday, 12 June 2013 (8:45am – 12:40pm)

AGENDA

- | | |
|-----------------|---|
| 8.30 - 8:45am | Registration La Vue, Back Street, South Hill |
| 8:50 - 9:05am | Introductory Remarks – Director, FSC – Keith Bell <ul style="list-style-type: none">• Compliance• General Corporate Law• Financial Services Statutory Legislation Requirements• “The Net Closes” |
| 9:10 - 9:30am | Obligations under POCA – Financial Analyst, FIU – La Fleur Dublin <ul style="list-style-type: none">• Role of MLRO/MLCO• Obligations to report• When to file a SAR• Consequences of not filing a SAR |
| 9:35 – 9:55am | Developing and documenting Policies and Procedures – Regulator, FSC, Jacelle Richardson <ul style="list-style-type: none">• Need for Policies and Procedures• Guidelines for AML/CFT Procedures Manual |
| 10:00 – 10:40am | Business Risk Assessments and Customer Risk Assessments – Chairman, FSC - Helen Hatton <ul style="list-style-type: none">• Components of Business Risk Assessments• Customer Risk Assessments and Profiling |
| 10:45 – 11:00am | Coffee |
| 11:05- 11:25am | Corporate Governance – Head of AML/CFT & Legal Services, FSC – Tina Bryan-Bannister <ul style="list-style-type: none">• Risk Analysis |

- Board meetings and decisions
- Building stronger Policies and Procedures

11:30- 11:50am Customer Due Diligence – Regulator, FSC - Sherman Williams

- Politically Exposed Persons (PEPs)
- Enhanced Due Diligence

11:55 - 12:15pm Reliance on Introducers and Intermediaries - Head of AML/CFT & Legal Services, FSC – Tina Bryan-Bannister

- Where is the risk?

12:20 – 12:40pm Discussion Forum